FORM PTO-1449	Atty. Docket No. PRO-015	Serial No. 10/683,599
LIST OF PRIOR ART CITED BY APPLICANT	Applicant: Steven T. Kirsch	
	Filing Date: October 9, 2003	Group:

OIPE JC25 JAN 05 2004 U.S. PATENT & TRADEMARK OFFICE

PATENT DOCUMENTS

Examiner Initial*		Document Number	Grant Date	Name	Class	Sub Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

Examiner Initial*		Document Number	Grant Date	Country	Class	Sub Class	Translation Yes	No
	AK							
	AL							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/MCL/	AM	Website printout: MailFrontier, "Matador Overview", 2003, 1 page.
/MCL/	AN	Website printout: Cloudmark, "Spamnet Authority", 2003, 1 page.
/MCL/	AO	Website printout: MailShell, "SpamCatcher is the Easiest Solution to Spam", 2003, 2 pages.

EXAMINER: /Michael C Lai/	DATE CONSIDERED: 08/14/2007

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08a (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10683599
Filing Date	2003-10-09
First Named Inventor	Steven T. Kirsch
Art Unit	2155
Examiner Name	
Attorney Docket Number	PRO-015

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Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/MCL/	1	20060015942	A1	2006-06-19	Judge et al.	
/MCL/	2	20060031314	A1	2006-02-09	Brahms et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	10683599
	Filing Date	2003-10-09
	First Named Inventor	Steven T. Kirsch
	Art Unit	2155
	Examiner Name	
	Attorney Docket Number	PRO-015

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
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[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	10683599
	Filing Date	2003-10-09
	First Named Inventor	Steven T. Kirsch
	Art Unit	2155
	Examiner Name	
	Attorney Docket Number	PRO-015

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/thomas schneck/	Date (YYYY-MM-DD)	2006-12-29
Name/Print	Thomas Schneck	Registration Number	24518

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).

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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	DATABASE FOR RECEIVING STORING AND COMPILING INFORMATION ABOUT EMAIL MESSAGES

Application Number : 10/683599

Confirmation Number: 1023

First Named Applicant: Steven Kirsch

Attorney Docket Number: PRO-015

Art Unit: 2155

Examiner:

Search string: (6058168 or 6182118 or 6356935 or 6366950 or 6421709 or 6643686 or 6868498 or 6957259 or 6996606 or 7016939 or 20020116463 or 20030023692 or 20030126218 or 20030149726 or 20030233418 or 20040068542 or 20040221016 or 20050015455 or 20050080855 or 20050080856 or 20050080857 or 20050091320 or 20050094189).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/MCL/	1	6058168	2000-05-02	Braband	A1	379	93.24
/MCL/	2	6182118	2001-01-30	Finney et al.	A1	709	206
/MCL/	3	6356935	2002-03-12	Gibbs	A1	709	206
/MCL/	4	6366950	2002-04-02	Scheussler et al.	A1	709	206
/MCL/	5	6421709	2002-07-16	McCormick et al.	A1	709	206
/MCL/	6	6643686	2003-11-04	Hall	A1	709	206
/MCL/	7	6868498	2005-03-15	Katsikas	A1	713	201
/MCL/	8	6957259	2005-10-18	Malik	A1	709	225
/MCL/	9	6996606	2006-02-07	Hasegawa	A1	709	206
/MCL/	10	7016939	2006-03-21	Rothwell et al.	A1	709	206

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
/MCL/	1	20020116463	2002-08-22	Hart	A1	709	206
/MCL/	2	20030023692	2003-01-30	Moroo	A1	709	206
/MCL/	3	20030126218	2003-07-03	Sakonsaku	A1	709	206
/MCL/	4	20030149726	2003-08-07	Spear	A1	709	206

/MCL/	5	20030233418	2003-12-18	Goldman	A1	709	206
/MCL/	6	20040068542	2004-04-08	Lalonde et al.	A1	709	206
/MCL/	7	20040221016	2004-11-04	Hatch et al.	A1	709	207
/MCL/	8	20050015455	2005-01-20	Liu	A1	709	207
/MCL/	9	20050080855	2005-04-14	Murray	A1	709	206
/MCL/	10	20050080856	2005-04-14	Kirsch	A1	709	206
/MCL/	11	20050080857	2005-04-14	Kirsch et al.	A1	709	206
/MCL/	12	20050091320	2005-04-28	Kirsch et al.	A1	709	206
/MCL/	13	20050094189	2005-05-05	Aoyama et al.	A1	358	1.15

Signature

Examiner Name	Date
/Michael C Lai/	08/14/2007

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	DATABASE FOR RECEIVING STORING AND COMPILING INFORMATION ABOUT EMAIL MESSAGES

Application Number : 10/683599

Confirmation Number: 1023

First Named Applicant: Steven Kirsch

Attorney Docket Number: PRO-015

Art Unit: 2155

Examiner:

Search string: (20040177120).pn

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
/MCL/	1	20040177120	2004-09-09	Kirsch	A1	709	206

Signature

Examiner Name	Date
/Michael C Lai/	08/14/2007

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	DATABASE FOR RECEIVING, STORING AND COMPILING INFORMATION ABOUT EMAIL MESSAGES

Application Number : 10/683599

Confirmation Number: 1023

First Named Applicant: Steven Kirsch

Attorney Docket Number: PRO-015

Art Unit: 2155

Examiner:

Search string: (5619648 or 6275850 or 6321267 or 6330590 or 6453327 or 6460050 or 6691156 or 6757830 or 6769016).pn

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/MCL/	1	5619648	1997-04-08	Canale et al.	A1	395	200.01
/MCL/	2	6275850	2001-08-14	Beyda et al.	B1	709	206
/MCL/	3	6321267	2001-11-20	Donaldson	B1	709	229
/MCL/	4	6330590	2001-12-11	Cotten	B1	709	206
/MCL/	5	6453327	2002-09-17	Nielsen	B1	707	500
/MCL/	6	6460050	2002-10-01	Pace et al.	B1	707	104.1
/MCL/	7	6691156	2004-02-10	Drummond et al.	B1	709	206
/MCL/	8	6757830	2004-06-29	Tarbotton et al.	B1	713	188
/MCL/	9	6769016	2004-07-27	Rothwell et al.	B2	709	206

Signature

Examiner Name	Date
/Michael C Lai/	08/14/2007